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PTO/SB/21 (08-00)

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|   |                      |                        |          |
|---|----------------------|------------------------|----------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 09/754,179             |          |
|   | Filing Date          | January 3, 2001        |          |
|   | First Named Inventor | Yoram Nelken           |          |
|   | Group Art Unit       | 2163                   |          |
|   | Examiner Name        | unknown                |          |
| Total Number of Pages in This Submission  | 10                   | Attorney Docket Number | PA1438US |

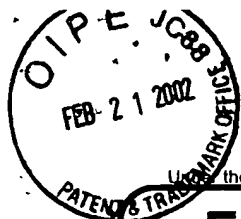
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| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Postcard |
| Remarks<br>Total page number does not include postcard and check(s), if applicable.  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                     |
|--|-------------------------------------|
| Firm or Individual name                    | Carr & Ferrell, LLP Cust. No. 22830 |
| Signature                                  | Wendi R. Schepler Reg. No. 43,091   |
| Date                                       | February 4, 2002                    |

| CERTIFICATE OF MAILING  |                   |      |          |
|---|-------------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 4, 2002 |                   |      |          |
| Typed or printed name   | Wendi R. Schepler |      |          |
| Signature   | Wendi R. Schepler | Date | 2/4/2002 |

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 348.00

## Complete if Known

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/754,179      |
| Filing Date          | January 3, 2001 |
| First Named Inventor | Yoram Nelken    |
| Examiner Name        | unknown         |
| Group Art Unit       | 2163            |
| Attorney Docket No.  | PA1438US        |

## METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Account  
Number

06-0600

Deposit  
Account  
Name

Carr &amp; Ferrell, LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

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Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description        | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 101 740                       | 201 370                       | Utility filing fee     |          |
| 106 330                       | 206 165                       | Design filing fee      |          |
| 107 510                       | 207 255                       | Plant filing fee       |          |
| 108 740                       | 208 370                       | Reissue filing fee     |          |
| 114 160                       | 214 80                        | Provisional filing fee |          |

SUBTOTAL (1) (\$) 0

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20                 | 20           | 9              | 180      |
| 8                  | 4            | 42             | 168      |
| Multiple Dependent |              |                | 0        |

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  |
|-------------------------------|-------------------------------|--|
| 103 18                        | 203 9                         | Claims in excess of 20                                     |
| 102 84                        | 202 42                        | Independent claims in excess of 3                          |
| 104 280                       | 204 140                       | Multiple dependent claim, if not paid                      |
| 109 84                        | 209 42                        | ** Reissue independent claims over original patent         |
| 110 18                        | 210 9                         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 348

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  |
|-------------------------------|-------------------------------|--|
| 105 130                       | 205 65                        | Surcharge - late filing fee or oath  |
| 127 50                        | 227 25                        | Surcharge - late provisional cover sheet                                   |
| 139 130                       | 139 130                       | Non-English specification  |
| 147 2,520                     | 147 2,520                     | For filing a request for <i>ex parte</i> reexamination                     |
| 112 920*                      | 112 920*                      | Requesting publication of SIR prior to Examiner action                     |
| 113 1,840*                    | 113 1,840*                    | Requesting publication of SIR after Examiner action                        |
| 115 110                       | 215 55                        | Extension for reply within first month                                     |
| 116 400                       | 216 200                       | Extension for reply within second month                                    |
| 117 920                       | 217 460                       | Extension for reply within third month                                     |
| 118 1,440                     | 218 720                       | Extension for reply within fourth month                                    |
| 128 1,960                     | 228 980                       | Extension for reply within fifth month                                     |
| 119 320                       | 219 160                       | Notice of Appeal   |
| 120 320                       | 220 160                       | Filing a brief in support of an appeal                                     |
| 121 280                       | 221 140                       | Request for oral hearing   |
| 138 1,510                     | 138 1,510                     | Petition to institute a public use proceeding                              |
| 140 110                       | 240 55                        | Petition to revive - unavoidable   |
| 141 1,280                     | 241 640                       | Petition to revive - unintentional   |
| 142 1,280                     | 242 640                       | Utility issue fee (or reissue)   |
| 143 460                       | 243 230                       | Design issue fee   |
| 144 620                       | 244 310                       | Plant issue fee  |
| 122 130                       | 122 130                       | Petitions to the Commissioner  |
| 123 50                        | 123 50                        | Processing fee under 37 CFR 1.17(q)  |
| 126 180                       | 126 180                       | Submission of Information Disclosure Stmt                                  |
| 581 40                        | 581 40                        | Recording each patent assignment per property (times number of properties) |
| 146 740                       | 246 370                       | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 149 740                       | 249 370                       | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 179 740                       | 279 370                       | Request for Continued Examination (RCE)                                    |
| 169 900                       | 169 900                       | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

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## SUBMITTED BY

Name (Print/Type)

Wendi R. Schepler

Registration No.  
(Attorney/Agent)

43,091

## Complete (if applicable)

Telephone

(650) 812-3400

Signature

Wendi R. Schepler

Date

2/4/2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Yoram Nelken et al.  
SERIAL NO.: 09/754,179  
FILING DATE: January 3, 2001  
TITLE: System and Method for Electronic Communication Management  
EXAMINER: Unknown  
ART UNIT: 2163  
ATTY. DKT. NO.: PA1438US

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Wendi R. Schepler  
Wendi R. Schepler

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Prior to any examination, please amend the above-identified patent application as follows:

02/27/2002 50182781 00000054 09754179

01 FD:203  
02 FD:202

180.00 CP  
180.00 DP

#3  
Pre  
Amendment  
MAW  
3/11/02